

# The Hurlingham Fund

## OEIC Application Form

Please complete in **BLOCK CAPITALS**, using **BLUE or BLACK INK** and **RETURN TO** your Authorised Financial Adviser, or to:  
Premier Portfolio Managers Limited, Po Box 55736, 50 Bank Street, Canary Wharf, London E14 1BT.

Please make all **CHEQUES PAYABLE** to: Premier Portfolio Managers Limited.

**For Direct Investment Only.** If you wish to open an Individual Savings Account (ISA), please complete The Hurlingham Fund ISA Application Form.

### 1. Personal Details - It is possible to have up to 4 joint applicants.

If you are an existing Premier account holder, please quote your reference number:

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**1st Applicant/Trustee Title:**

First name(s) in full:

Surname (family name):

Permanent residential address:

Postcode:

Date of birth (DD/MM/YYYY):

D	D	/	M	M	/	Y	Y	Y	Y
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Telephone numbers (Inc STD code):

Email address:

Daytime:

Evening:

**Personal Identifier:**

Place and country of birth:

Please provide your own personal security question and answer which will be required each time you telephone for information on your account.  
(for example "what was the name of my first school", "what is my mother's first name", "The name of my first pet" or "What is the name of your favourite childhood friend?")

Security question:

Security answer:

**2nd Applicant/Trustee Title:**

First name(s) in full:

Surname (family name):

Permanent residential address:

Postcode:

Date of birth (DD/MM/YYYY):

D	D	/	M	M	/	Y	Y	Y	Y
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Telephone numbers (Inc STD code):

Email address:

Daytime:

Evening:

**3rd Applicant/Trustee Title:**

First name(s) in full:

Surname (family name):

Permanent residential address:

Postcode:

Date of birth (DD/MM/YYYY):

D	D	/	M	M	/	Y	Y	Y	Y
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Telephone numbers (Inc STD code):

Email address:

Daytime:

Evening:

**4th Applicant/Trustee Title:**

First name(s) in full:

Surname (family name):

Permanent residential address:

Postcode:

Date of birth (DD/MM/YYYY):

D	D	/	M	M	/	Y	Y	Y	Y
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Telephone numbers (Inc STD code):

Email address:

Daytime:

Evening:

**Trust Name/Designation**

Trust Name or Designation if appropriate (maximum 15 characters)

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Purchases for children must be registered in the name of an adult designated with the name of the child.

**2. Applicant Identity Verification**

**Money Laundering Regulations 2007:**

Under these regulations there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity and date of birth. This will normally be a passport or similar form of identity check together with proof of address from a recent utility bill (not a mobile telephone bill). Electronic checking systems may be used to verify identity. Please note that where a payment is made by a Building Society cheque or Bankers Draft, confirmation of the account from where the funds originate must be provided.

**Do you have an Authorised Financial Adviser?**

Yes, your Authorised Financial Adviser should complete section 9.  No, please complete the following Applicant Identity Verification section.

If you are investing directly without the services of a UK Authorised Financial Adviser we will need to verify your identity. We need to see certified copies\* of either your current passport or full driving licence, and a recent (within the last three months) bank statement or utility bill (not a mobile telephone bill) showing your current address.

**This applies to every applicant named in section 1. \*copies must be certified by either a solicitor, accountant, or your bank/building society manager.**

**Please tick both boxes below to confirm that the relevant identity check documents are enclosed.**

Proof of identity:  Copy of passport **or** full driving licence (If you **do not** have a passport/full driving licence, please contact us on 0845 6056363 to discuss other documentation which may be acceptable as proof of identity.)  
Proof of address:  Bank statement **or** utility bill

If certified copies are included with this application pack, please complete the following details for the person(s) certifying the documents (continue on a separate sheet if necessary):

**1st Applicant/Trustee:**

Certified by: Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Postcode: \_\_\_\_\_  
Occupation: \_\_\_\_\_  
Telephone number: \_\_\_\_\_

**2nd Applicant/Trustee:**

Certified by: Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Postcode: \_\_\_\_\_  
Occupation: \_\_\_\_\_  
Telephone number: \_\_\_\_\_

**3rd Applicant/Trustee:**

Certified by: Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Postcode: \_\_\_\_\_  
Occupation: \_\_\_\_\_  
Telephone number: \_\_\_\_\_

**4th Applicant/Trustee:**

Certified by: Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Postcode: \_\_\_\_\_  
Occupation: \_\_\_\_\_  
Telephone number: \_\_\_\_\_

### 3. Portfolio Choice

Please choose which Portfolios you wish to invest in and insert an amount in the appropriate box(es) below. You can invest with a lump sum and/or monthly contributions.

Minimum investment: £5,000 lump sum, £100 monthly per Portfolio.

	Lump sum	Monthly*
Hurlingham Balanced Portfolio - Accumulation Shares	£	£
Hurlingham Balanced Portfolio - Income Shares	£	£
Hurlingham Managed Growth Portfolio - Accumulation Shares	£	£
Hurlingham Managed Growth Portfolio - Income Shares	£	£
<b>TOTAL</b>	£	£

\*Monthly contributions must be rounded in £10 amounts. If you have chosen monthly contributions, please complete the direct debit instructions in section 7 and ensure that a cheque, made payable to Premier Portfolio Managers Limited, is submitted with this application form to represent the first contribution. Contributions will be collected from your bank account on the first working day of the month.

**Accumulation Shares:** Your share of the net income of the Fund is automatically reinvested on your behalf at a minimal cost. The amount of the reinvested income is reflected in the increased price of each accumulation share.

**Income Shares:** Your share of the net income of the Fund is paid to you in cash unless you have elected to reinvest the income, whereby additional shares will be purchased at a minimal cost. If you have chosen income shares, please complete section 4.

### 4. Income Payments (does not apply to Accumulation Shares)

Please choose how you would like to receive any income generated from your investment.

If you do not tick either of these boxes, your net income will automatically be reinvested.

Income sent direct to your bank/building society  Please ensure that you complete your bank account details in section 6.

Income reinvested to purchase more shares

### 5. Income Withdrawal by Share Encashment

You can choose to receive a monthly cash amount by encashing some of the shares in your investment. Please ensure you complete your bank account details in section 6. Please choose where you want your cash withdrawn from and insert the amount in the appropriate box(es) below (minimum £100 per Portfolio and per investment option, per month to the nearest whole pound). **Please bear in mind that the higher the level of cash withdrawal, the greater the risk of capital erosion.**

	Monthly income withdrawal
Hurlingham Balanced Portfolio - Accumulation Shares	£
Hurlingham Balanced Portfolio - Income Shares	£
Hurlingham Managed Growth Portfolio - Accumulation Shares	£
Hurlingham Managed Growth Portfolio - Income Shares	£
<b>TOTAL</b>	£

## 6. Bank/Building Society details for income payments

Please complete your account details below in order that we can make payments by direct credit to your bank or building society.

Bank/Building Society: \_\_\_\_\_

Address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Name of account to be credited: \_\_\_\_\_

Sort code:       Account number:

Building Society reference or roll number:

I authorise Premier Portfolio Managers Limited to make payments as instructed in section 4 and/or 5 above, to the bank or building society shown. Premier Portfolio Managers Limited does not accept any responsibility for the quotation of building society account numbers and the quotation of any such number(s) is entirely at the risk of the investor.

Signature: \_\_\_\_\_ Date (DD/MM/YYYY):   /   /

## 7. Direct debit for regular savers - Instruction to your Bank/Building Society to pay by direct debit

Regular contributions will be collected on the first working day of every month.

Name and full postal address of your Bank or Building Society:

To the Manager:

Bank/Building Society Address:

Postcode:

Name(s) of Account Holder(s):

Bank/Building Society Account Number:

Branch Sort Code:

Originator's Identification Number:

6  0  0  0  3  3

Premier Portfolio Managers' reference number (office use only):



Your instructions to the Bank/Building Society

Please pay Premier Portfolio Managers Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Premier Portfolio Managers Limited and, if so, details will be passed electronically to my Bank/Building Society.

Signature: \_\_\_\_\_

Date (DD/MM/YYYY):   /   /

### The Direct Debit Guarantee - This guarantee should be detached and retained by the Payee

This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits

- If there are any changes to the amount, date or frequency of your Direct Debit, Premier Portfolio Managers Limited will notify you 14 working days in advance of your account being debited or as otherwise agreed. If you request Premier Portfolio Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit by Premier Portfolio Managers Limited or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Premier Portfolio Managers Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us

## 8. The Declaration and Authority

This application form, along with the relevant Simplified Prospectus, forms the agreement upon which we intend to rely. For your own benefit and protection, you should read these terms carefully before signing them. If you do not understand any point, please ask for further information.

I/We apply to make the investment(s) in the fund(s) specified. I/We enclose a cheque(s) for the amount(s) stated overleaf made payable to Premier Portfolio Managers Limited.

### Data Protection Act 1998:

I agree to the following:

- The information you provide on your application form (or subsequently) will be held and processed by Premier Portfolio Managers Limited (a subsidiary of Premier Asset Management Limited) as data controller for the purposes of the Data Protection Act 1998.
- We may hold and process information for the administration of the service(s) for which you are  currently applying or may apply for in future, for the operation of your investment in units or shares (including e.g. for registration and distribution purposes), for the purposes of statistical analysis, and the marketing of goods or services by this company or other companies in the Premier Asset Management Marketing Group.
- If you do not want your personal data to be used for marketing purposes, please tick this box.
- We may transfer information to other companies in the Premier Asset Management Marketing Group and to third party agents of such companies or of this company for any of the above purposes.
- Where a financial adviser acts on your behalf, we will disclose information concerning your investment to that financial adviser.
- Save as noted above, we will not provide to any other third party any information relating to you, unless you have given your consent or unless we are required to do so by law.
- You are entitled to request details of information we may hold about you upon payment of a fee and to require us to correct any inaccuracies in your personal data.

### Declaration for Trustees:

We appoint Premier Portfolio Managers Limited under the power and authority given to us by the Trust Deed and we delegate to Premier Portfolio Managers Limited the investment of the Trust property (and authorise them to sub-delegate) delivered to Premier Portfolio Managers Limited from time to time on the terms described in the Composite Simplified Prospectus Document. We hereby represent and warrant that we are empowered by the said Trust Deed to delegate our function in the manner described above.

<b>1st Applicant/Trustee</b>	Signature: _____	Date: <input type="text" value="D"/> <input type="text" value="D"/> / <input type="text" value="M"/> <input type="text" value="M"/> / <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
<b>2nd Applicant/Trustee</b>	Signature: _____	Date: <input type="text" value="D"/> <input type="text" value="D"/> / <input type="text" value="M"/> <input type="text" value="M"/> / <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
<b>3rd Applicant/Trustee</b>	Signature: _____	Date: <input type="text" value="D"/> <input type="text" value="D"/> / <input type="text" value="M"/> <input type="text" value="M"/> / <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
<b>4th Applicant/Trustee</b>	Signature: _____	Date: <input type="text" value="D"/> <input type="text" value="D"/> / <input type="text" value="M"/> <input type="text" value="M"/> / <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>

We may only accept Powers of Attorney (original or certified copy) due to physical or mental incapacity. For physical incapacity it must be accompanied by a written declaration by the person signing the application. A draft declaration can be obtained from Premier Portfolio Managers Limited. In the case of mental incapacity, the Power of Attorney must be registered and stamped by the Court of Protection.

**If the application is for a trust investment, we may need to verify the identity of all trustees, depending on the Trust Deed. Please enclose the Trust Deed with this application.**

## 9. Financial Adviser Details - to be completed by your Financial Adviser

### Financial Adviser Stamp

FSA number: \_\_\_\_\_

Special Commissions Terms (if applicable): \_\_\_\_\_

Please indicate if this business was:

advised       non-advised

Financial Advisers please complete the following section for all applicants (*please copy this page if necessary before completing*)

**First Applicant:**      Date of commencement of business relationship (DD/MM/YYYY):   /   /

**I certify that:** (*please tick as appropriate*)

1. I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant's name, address and date of birth as shown in section 1 are correct AND the details of the underlying records of identity are as described below (document name + detail + date e.g. driving licence SMITH625085JT4EG, electricity bill 30.11.07 cus ref 1245678):

*Certified Copy Attached*

Proof of identity:  \_\_\_\_\_

Proof of residency:  \_\_\_\_\_

Proof of date of birth:  \_\_\_\_\_

**OR**

2. I have not verified the identity of the Applicant for the following reason: \_\_\_\_\_
3. I confirm that the Applicant is applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

**Further Applicant(s):**      Date of commencement of business relationship (DD/MM/YYYY):   /   /

**I certify that:** (*please tick as appropriate*)

1. I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant's name, address and date of birth as shown in section 1 are correct AND the details of the underlying records of identity are as described below (document name + detail + date e.g. driving licence SMITH625085JT4EG, electricity bill 30.11.07 cus ref 1245678):

*Certified Copy Attached*

Proof of identity:  \_\_\_\_\_

Proof of residency:  \_\_\_\_\_

Proof of date of birth:  \_\_\_\_\_

**OR**

2. I have not verified the identity of the Applicant for the following reason: \_\_\_\_\_
3. I confirm that the Applicant is applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

Signature: \_\_\_\_\_ Date (DD/MM/YYYY):   /   /

Financial Adviser/Consultant name: \_\_\_\_\_

Company name: \_\_\_\_\_

### ADMINISTRATION QUERIES

For any administration queries, you can contact us at:

Premier Portfolio Managers Limited, Po Box 55736, 50 Bank Street, Canary Wharf, London E14 1BT.

Tel: 0845 605 6363 Email: premier@premierfunds.co.uk Web: www.premierassetmanagement.co.uk

Premier Portfolio Managers Limited and Premier Fund Managers Limited are authorised and regulated by the Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS and are members of the Premier Asset Management Marketing Group. August 2010.